

Umemura quadric fibrations and maximal subgroups of C_n .

everything proj./ \mathbb{C} .

§1. Algebraic subgroups.

Goal: Study (in some sense) the group $\text{Bir}(X) := \{\varphi: X \dashrightarrow X \mid \varphi: \text{bir} \text{ r.t.l.}\}$

In general it's an enormously complicated group: e.g. $X = \mathbb{P}^2$, Blanc-Furter show that there is no structure of an alg. group on $\text{Bir}(X)$, or even an ind-group structure (compatible with the notion "family of morphisms").

Def: An alg. subgroup G of $\text{Bir}(X)$ is an alg. group acting r.t.l. on X .

- G is called a conn. alg. subgroup (c.a.s) if it is connected as an alg. group
- We say that G is a maximal c.a.s (m.c.a.s) if:

$$\varphi G \varphi^{-1} \leq H \Rightarrow \varphi G \varphi^{-1} = H$$

where $\varphi \in \text{Bir}(X)$, $H: \underline{\text{c.a.s}}$.

§2. Known results.

Remark: The simpler X , the more complicated the group $\text{Bir}(X)$.

For reference, X : variety of general type, then $\text{Bir}(X) = \text{Aut}(X)$: finite group.

• $X = \mathbb{P}^2$, Enriques 1893:

$$G: \text{m.c.a.s } \text{Bir}(\mathbb{P}^2) \Leftrightarrow G = \text{Aut}^\circ(S), S = \mathbb{P}^2 \text{ or } \mathbb{F}_n, n \neq 1.$$

• $X = \mathbb{C} \times \mathbb{P}^1$ $g(\mathbb{C}) \geq 1$, Fong '21

Full classification of m.c.a.s

e.g. $g(\mathbb{C}) \geq 2$

$$G: \text{m.c.a.s.} \Leftrightarrow G = \text{Aut}^\circ(\mathbb{C} \times \mathbb{P}^1)$$

Notice the pattern: $G = \text{Aut}^\circ(Z)$, $Z: \text{Mfs}$.

• $X = \mathbb{P}^3$, Umemura 80's, Blanc-Fonelli-Tempereau '21.

Full classification

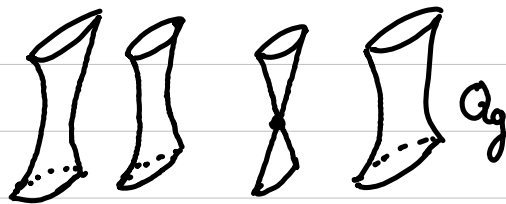
Only one infinite family of m.c.a.s.

$$\text{Def: } \mathbb{P}(\underbrace{\mathcal{O}_{\mathbb{P}^1}^{\oplus n}}_{\mathbb{E}_a} \oplus \mathcal{O}_{\mathbb{P}^1}(-a)) = (\mathbb{A}^{n+1} \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\}) / \mathbb{G}_m^2$$

$$(j, \mu) \cdot (x_0, \dots, x_n)(t_0, t_1) = (j x_0, \dots, j x_n, j \mu^{-a} x_n)(\mu t_0, \mu t_1)$$

Let g be a polynomial of deg $2a$. We define the Umemura quadric fibration

$$Q_g := \{x_1^2 \cdot x_0 x_2 + x_3^2 + \dots + x_{n-1}^2 + g(t_0, t_1) x_n^2 = 0\} \subseteq \mathbb{P}(\mathbb{E}_a)$$



Facts

• singular fibers = fibers over roots of g

• if p is a multiple root then vertex of fibers $\subseteq \text{Sing}(Q_g)$

• $Q_g \rightarrow \mathbb{P}^1: \text{Mfs}$ and $Q_g: \text{rat.l.}$

Thm (Floris, -): Write $g = f^2 h$, h : square-free.

Then $\text{Aut}^\circ(Q_g) \leq \text{Aut}^\circ(Q_h)$.

Let h, h' be square-free polynomials. Then

(1) $\text{Aut}^\circ(Q_h): \text{m.c.a.s}$ of C_n iff h : constant or h has at least 4 roots.

(2) $\text{Aut}^\circ(Q_h), \text{Aut}^\circ(Q_{h'})$ are conjugated iff

$$h(t_0, t_1) = h'(\alpha(t_0, t_1)), \alpha \in \text{PGL}_2.$$

§3. Main machinery

- How to study c.a.s.

Thm (Weil regularization +): A c.a.s. G of $\text{Bir}(X)$ is regularizable on a smooth proj. variety, i.e. there exist:

- Y : smooth proj.
- $G \curvearrowright Y$
- $X \dashrightarrow Y$: G -equivariant.

Prop: Let G : conn alg. group acting on Y . Then any MMP on Y is G -equivariant.

Proof: The K_Y -negative part of $\overline{NE}(Y)$ is discrete } \Rightarrow
 G : connected

$\Rightarrow G \curvearrowright \overline{NE}(Y)_{K_Y < 0}$: trivially

\Rightarrow Any extr. contraction is G -equiv.

- Divisorial contractions \checkmark
- Flips $Y \dashrightarrow Y^* \xrightarrow{G \curvearrowright W} Y^* \dashrightarrow Y$
 \xrightarrow{G} preserves sections of $nK_Y, n \gg 0$ } $\Rightarrow G \curvearrowright Y^*$ \square

$G \curvearrowright X \dashrightarrow G \curvearrowright Y \dashrightarrow G \curvearrowright Z$, Z : terminal Mfs birtl X .

- How to check maximality of a c.a.s

Lemma: G : c.a.s of $\text{Bir}(X)$, then G : maximal $\Leftrightarrow \nexists$ G -equiv. birtl map $f: X \dashrightarrow Z$ to a Mfs with a G -action $G = \text{Aut}^\circ(Z)$

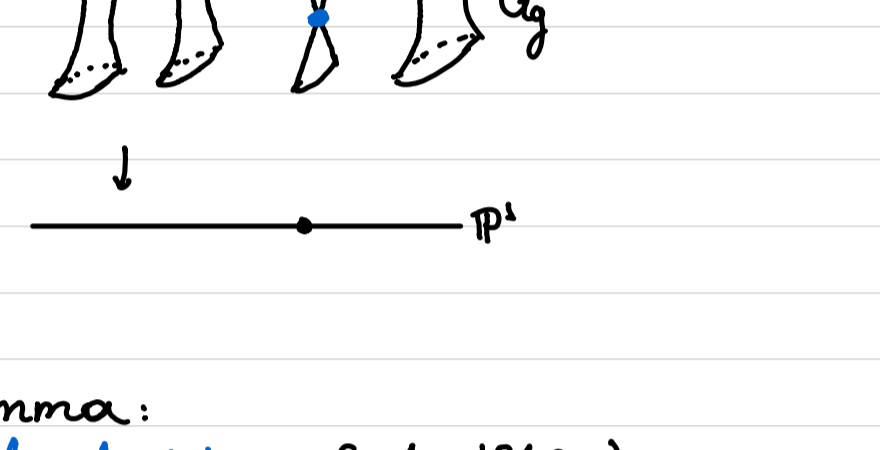
Proof: (\Rightarrow) $G \leq \text{Aut}^\circ(Z)$ } $\Rightarrow G = \text{Aut}^\circ(Z)$
 $\text{Aut}^\circ(Z)$: c.a.s

(\Leftarrow) $G \leq M$: c.a.s. $M \curvearrowright X \dashrightarrow G \leq M \curvearrowright Z$: Mfs } $\Rightarrow G = M$.
 by our assumption $G \leq \text{Aut}^\circ(Z)$ \square

Thm (Corti, Hacon-McKernan, Floris): A birtl map between Mfs with a G -action can be decomposed into simpler ones, called G -Sarkisov links, where G is connected.

Remark: All G -Sarkisov links involving $Q_g \rightarrow \mathbb{P}^1$ are determined by a G -equiv. divisorial contraction $X \rightarrow Q_g \rightarrow \mathbb{P}^1$

§4. Equivariant geometry of Q_g .

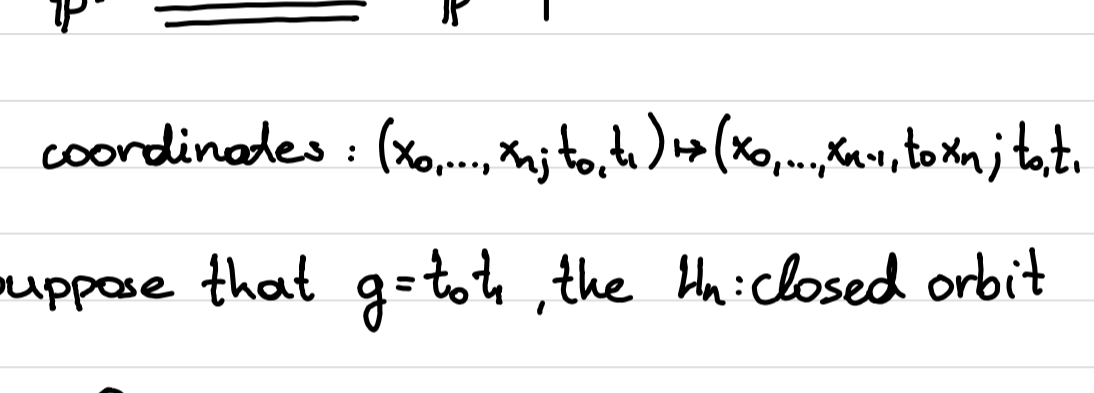


Lemma:

1. Closed orbits of $\text{Aut}^\circ(Q_g)_{\mathbb{P}^1}$ are:
 - vertices of singular fibers
 - $H_n \cap$ fibers
2. If g has more than 3 distinct roots, then $\text{Aut}(Q_g) = \text{Aut}(Q_g)_{\mathbb{P}^1} \cong \text{SO}_n(\mathbb{C})$.
3. Singular fibers are preserved.

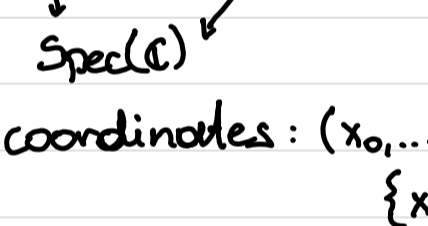
Two examples of G -links

- Suppose that $g = t^2 \cdot h$, p : vertex of F_t



in coordinates: $(x_0, \dots, x_n, t_0, t_1) \mapsto (x_0, \dots, x_{n-1}, t_0 x_n, t_1)$

- Suppose that $g = t_0 t_1$, the H_n : closed orbit



in coordinates: $(x_0, \dots, x_n, t_0, t_1) \mapsto (x_0, \dots, x_{n-1}, x_n t_0, x_1 t_1)$
 $\{x_n = 0\} \mapsto \{y_n = y_{n+1} = 0\} = \Pi$

$\text{Aut}^\circ(Q_g) = \text{Aut}^\circ(Q^n; \Pi) \neq \text{Aut}^\circ(Q^n)$

in particular $\text{Aut}^\circ(Q_g)$: not m.c.a.s.

Prop: Let $f: E \subseteq X \rightarrow \Gamma \subseteq Y$ be a G -equiv div. contraction, where X : terminal and Γ is an orbit of codim 2. Then $X = \text{Bl}_\Gamma Y$.

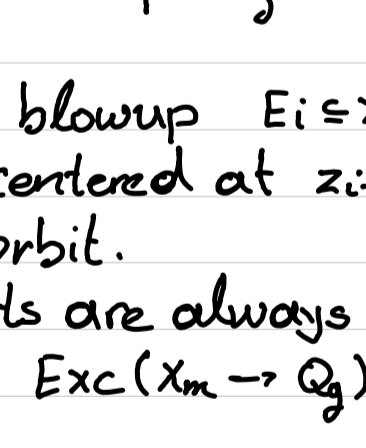
Prop: Suppose $g = t^k \cdot h$, $k \geq 1$, i.e. fiber F_t/H is singular and let $f: E \subseteq X \rightarrow p \in Q_g$ be a G -equiv div. contraction, p : vertex of F_t . Then f is a $(1, 1, \dots, b)$ -weighted blowup.

In this case write $\overline{NE}(X/\mathbb{P}^1) = \mathbb{R}_+ [E] \oplus \mathbb{R}_+ [\tilde{L}]$, $e \in N$

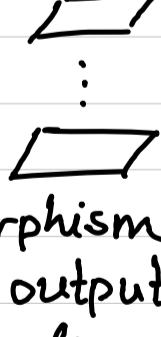
Then: \tilde{L} spans the divisor \tilde{F}_f

- $K_X \cdot \tilde{L} < 0 \Leftrightarrow b = 1$ and $k \geq 2$

Idea of Proof: Tower construction of Kawakita



- Each blowup $E_i \subseteq X_i \rightarrow z_{i-1} \subseteq X_{i-1}$ is centered at $z_{i-1} \subseteq \text{Exc}(X_i \rightarrow Q_g)$
- z_i : orbit.
- orbits are always of the form $E_n \cap E_i$ so $\text{Exc}(X_n \rightarrow Q_g)$ is a "tree"



- g : morphism, thus we can assume it's the output of some MMP.
- we analyze K_{X_n} -negative rays and the only configuration that works is the $(1, \dots, 1, b)$ -blowup. \square

Thm (Floris, -): Write $g = f^2 \cdot h$, h : square-free. Then $\text{Aut}^\circ(Q_g) \leq \text{Aut}^\circ(Q_h)$.

Let h, h' be square-free polynomials. Then

- (1) $\text{Aut}^\circ(Q_h)$: m.c.a.s of C_n iff h : constant or h has at least 4 roots.
- (2) $\text{Aut}^\circ(Q_h), \text{Aut}^\circ(Q_{h'})$ are conjugated iff $h(t_0, t_1) = h'(\alpha(t_0, t_1))$, $\alpha \in \text{PGL}_2$.

Proof: The only links are the 2 examples

- If $g = f^2 \cdot h$, h : sq. free with 2 roots

Then repeatedly applying the 1st link we get $\text{Aut}^\circ(Q_g) \leq \text{Aut}^\circ(Q_h)$

applying the 2nd link $\text{Aut}^\circ(Q_h) \neq \text{Aut}^\circ(Q^n)$ i.e. $\text{Aut}^\circ(Q_g)$ not m.c.a.s.

- Otherwise write $g = f^2 \cdot h$, h : sq. free with more than 2 roots.

From Q_g we can only apply the 1st link or its inverse i.e. we only have the possibilities $Q_g \dashrightarrow Q_g e^2$ or $Q_g \dashrightarrow Q_g k^2$

All these polynomials are of the form $g' = f' \cdot h$ and thus they have more than 2 roots. This means that $\text{Aut}^\circ(Q_g) = \text{SO}_n$ \square